Form NLRB - 501 (2-08)

(b) (6), (b) (7)(C)

UNITED STATES OF AMERICA NATIONAL LABOR RELATIONS BOARD CHARGE AGAINST EMPLOYER

INSTRUCTIONS:

Tenant I	DO NOT WRITE I	N THIS SPACE	
	Case	Date Filed	
	32-CA-201160	6 22 2017	

b) (6) b) 7) C)

. Name of Employer	EMPLOYER AGAINST WHOM CHARGE IS BROU	b. Tel. No.
Google, Inc., a subsidiary of Alphabet Inc.	(650) 253-3640	
dougle, me., a substately of representation	c. Cell No.	
		(415) 867-5506
. Address (street, city, state ZIP code)	e. Employer Representative	f. Fax No.
1600 Amphitheater Pkwy	Jenn Blackstone,	
Mountain View, CA 94043-1351	Senior Counsel	g. e-Mail
		jblackstone@google.com
	į.	h. Dispute Location (City and State)
Type of Establishment (factory, nursing home,	j. Principal Product or Service	Mountain View, CA k. Number of workers at dispute location
hotel)	j. I micipal rioddet of Service	30,000
Technology	1	00,000
	Internet search engine	
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WILLFUL FALSE STATEMENTS ON THIS CHARGE CAN BE PUNISHED BY FINE AND IMPRISONMENT (U.S. CODE, TITLE 18, SECTION 1001) PRIVACY ACT STATEMENT

Solicitation of the information on this form is authorized by the National Labor Relations Act (NLRA), 29 U.S.C. § 151 et seq. The principal use of the information is to assist the National Labor Relations Board (NLRB) in processing unfair labor practice and related proceedings or litigation. The routine uses for the information are fully set forth in the Federal Register, 71 Fed. Reg. 74942-43 (Dec. 13, 2006). The NLRB will further explain these uses upon request. Disclosure of this information to the NLRB is voluntary; however, failure to supply the information will cause the NLRB to decline to invoke its processes.



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

June 23, 2017

JENN BLACKSTONE, SENIOR COUNSEL GOOGLE, INC., A SUBSIDIARY OF ALPHABET INC. 1600 AMPHITHEATRE PKWY MOUNTAIN VIEW, CA 94043-1351

Re: GOOGLE, INC.,

A SUBSIDIARY OF ALPHABET INC.

Case 32-CA-201160

Dear Ms. Blackstone:

Enclosed is a copy of a charge that has been filed in this case. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Attorney Catherine Ventola whose telephone number is (510)671-3049.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing Form NLRB-4701, Notice of Appearance. This form is available on our website, <u>www.nlrb.gov</u>, or from an NLRB office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

<u>Presentation of Your Evidence</u>: We seek prompt resolutions of labor disputes. Therefore, I urge you or your representative to submit a complete written account of the facts and a statement of your position with respect to the allegations set forth in the charge as soon as possible. If the Board agent later asks for more evidence, I strongly urge you or your representative to cooperate fully by promptly presenting all evidence relevant to the investigation. In this way, the case can be fully investigated more quickly.

Full and complete cooperation includes providing witnesses to give sworn affidavits to a Board agent, and providing all relevant documentary evidence requested by the Board agent. Sending us your written account of the facts and a statement of your position is not enough to be considered full and complete cooperation. A refusal to fully cooperate during the investigation might cause a case to be litigated unnecessarily.

In addition, either you or your representative must complete the enclosed Commerce Questionnaire to enable us to determine whether the NLRB has jurisdiction over this dispute. If you recently submitted this information in another case, or if you need assistance completing the form, please contact the Board agent.

We will not honor any request to place limitations on our use of position statements or evidence beyond those prescribed by the Freedom of Information Act and the Federal Records Act. Thus, we will not honor any claim of confidentiality except as provided by Exemption 4 of FOIA, 5 U.S.C. Sec. 552(b)(4), and any material you submit may be introduced as evidence at any hearing before an administrative law judge. We are also required by the Federal Records Act to keep copies of documents gathered in our investigation for some years after a case closes. Further, the Freedom of Information Act may require that we disclose such records in closed cases upon request, unless there is an applicable exemption. Examples of those exemptions are those that protect confidential financial information or personal privacy interests.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website, <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website, www.nlrb.gov or from an NLRB office upon your request. NLRB Form 4541 offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Valerie Hardy-Mahoney Regional Director

Valerie Hardy-Makoney

Enclosures:

- 1. Copy of Charge
- 2. Commerce Questionnaire



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD



REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315 Download NLRB Mobile App

June 23, 2017



Re: GOOGLE, INC., A SUBSIDIARY OF ALPHABET INC. Case 32-CA-201160

Dear (b) (6), (b) (7)(C)

The charge that you filed in this case on June 22, 2017 has been docketed as case number 32-CA-201160. This letter tells you how to contact the Board agent who will be investigating the charge, explains your right to be represented, discusses presenting your evidence, and provides a brief explanation of our procedures, including how to submit documents to the NLRB.

<u>Investigator</u>: This charge is being investigated by Field Examiner Alexander M. Hajduk whose telephone number is (510)671-3024. If this Board agent is not available, you may contact Supervisory Attorney Catherine Ventola whose telephone number is (510)671-3049.

<u>Right to Representation</u>: You have the right to be represented by an attorney or other representative in any proceeding before us. If you choose to be represented, your representative must notify us in writing of this fact as soon as possible by completing *Form NLRB-4701*, *Notice of Appearance*. This form is available on our website, <u>www.nlrb.gov</u>, or at the Regional office upon your request.

If you are contacted by someone about representing you in this case, please be assured that no organization or person seeking your business has any "inside knowledge" or favored relationship with the National Labor Relations Board. Their knowledge regarding this proceeding was only obtained through access to information that must be made available to any member of the public under the Freedom of Information Act.

Presentation of Your Evidence: As the party who filed the charge in this case, it is your responsibility to meet with the Board agent to provide a sworn affidavit, or provide other witnesses to provide sworn affidavits, and to provide relevant documents within your possession. Because we seek to resolve labor disputes promptly, you should be ready to promptly present your affidavit(s) and other evidence. If you have not yet scheduled a date and time for the Board agent to take your affidavit, please contact the Board agent to schedule the affidavit(s). If you fail to cooperate in promptly presenting your evidence, your charge may be dismissed without investigation.

<u>Procedures:</u> We strongly urge everyone to submit all documents and other materials by E-Filing (not e-mailing) through our website <u>www.nlrb.gov</u>. However, the Agency will continue to accept timely filed paper documents. Please include the case name and number indicated above on all your correspondence regarding the charge.

Information about the Agency, the procedures we follow in unfair labor practice cases and our customer service standards is available on our website www.nlrb.gov or from the Regional Office upon your request. NLRB Form 4541, Investigative Procedures offers information that is helpful to parties involved in an investigation of an unfair labor practice charge.

We can provide assistance for persons with limited English proficiency or disability. Please let us know if you or any of your witnesses would like such assistance.

Very truly yours,

Valerie Hardy-Mahoney Regional Director

Valerie Hardy-Makoney



UNITED STATES GOVERNMENT NATIONAL LABOR RELATIONS BOARD

REGION 32 1301 Clay St Ste 300N Oakland, CA 94612-5224 Agency Website: www.nlrb.gov Telephone: (510)637-3300 Fax: (510)637-3315

September 29, 2017

J. AL LATHAM JR., ATTORNEY AT LAW CAMERON W. FOX, ATTORNEY AT LAW ANKUSH DHUPAR, ESQ. PAUL HASTINGS LLP 515 SOUTH FLOWER STREET, 25TH FLOOR LOS ANGELES, CA 90071-2228

Re: Google, Inc., a subsidiary of Alphabet, Inc.

Case 32-CA-201160

Dear Mr. LATHAM, Ms. FOX, and Mr. DHUPAR:

This is to advise that I have approved the withdrawal of the portions of the charge alleging that the Employer violated Section 8(a)(1) of the Act by: interrogating employees about their protected concerted activities; engaging in surveillance of employees' protected concerted activities; and by promulgating, maintaining, and enforcing the Workplace Concern Policy, the Conflicts of Interest Policy; the Policy Against Harassment, Discrimination, and Retaliation; the Guide to Personal Political Activity Policy; the You Said What? Policy; the Retaliation Policy; the Standards of Conduct Policy; and the Executive Order HRBP FAQ Policy.

The remaining allegations that the Employer violated Section 8(a)(1) of the Act by threatening employees with threats of unspecified reprisals because of their protected concerted activities and by promulgating and/or maintaining unlawful rules in the Alphabet Code of Conduct, the Employee Communications Policy, the Chromium Code of Conduct Policy, the Personal Political Activity for U.S. Googlers Policy, and the "No Jerks" Policy, remain subject to further processing.

Very truly yours,

/s/ Catherine Ventola

Catherine Ventola Acting Regional Director

cc: JENN BLACKSTONE, SENIOR
COUNSEL
GOOGLE, INC., A SUBSIDIARY OF
ALPHABET, INC.
1600 AMPHITHEATRE PKWY
MOUNTAIN VIEW, CA 94043-1351

(b) (6), (b) (7)(C)

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August 31, 2018

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Re: Google, Inc., a subsidiary of Alphabet, Inc.

Valerie Hardy-Makoney

Case 32-CA-201160

Dear Mr. Latham, Mr. Fox and Mr. Dhupar:

This is to advise you that I have approved the withdrawal of the remaining 8(a)(1) allegations in the charge in the above matter.

Very truly yours,

Valerie Hardy-Mahoney Regional Director

cc: JENN BLACKSTONE, SENIOR COUNSEL GOOGLE, INC., A SUBSIDIARY OF ALPHABET, INC.

1600 AMPHITHEATRE PKWY MOUNTAIN VIEW, CA 94043-1351 (b) (6), (b) (7)(C)